

To: Corporate Customers Requesting Overseas Remittances and Domestic Foreign Currency Remittances

Shinsei Bank, Limited

Shinsei Bank asks its customers to present the following documents when the Bank receives a request for an overseas remittance and domestic foreign currency remittance in order to confirm the details of the remittance based on the Act on Prevention of Transfer of Criminal Proceeds and the Foreign Exchange and Foreign Trade Act.

Please note that the Bank may ask its customers to present other documents in addition to the following.

【Documents for confirming the validity of the remittance】

(1) The following documents are needed depending on the purpose:

【Remittance purpose】	【Example of documents indicating the validity of the remittance purpose】
① Payment for goods	<ul style="list-style-type: none"><input type="checkbox"/> An invoice issued by your client<input type="checkbox"/> A document indicating the recipient's information (when it is available in addition to the invoice)<input type="checkbox"/> A certified copy of your commercial register which indicates your business operations
② Payment of an entrusting fee or a commission	<ul style="list-style-type: none"><input type="checkbox"/> A contract document signed by both contracting parties<input type="checkbox"/> A certified copy of your commercial register indicating your business operations
③ Payment of a salary, compensation, or an expense	<ul style="list-style-type: none"><input type="checkbox"/> An employment contract or a document proving the employment relationship between you and the recipient<input type="checkbox"/> A document indicating the ID of the recipient (*1) (*1) A copy of the recipient's passport, etc.<input type="checkbox"/> A certified copy of your commercial register which indicates your business operations
④ Investment or lending	<ul style="list-style-type: none"><input type="checkbox"/> A URL or a brochure indicating the details of the investment<input type="checkbox"/> A loan agreement (in the case of lending)<input type="checkbox"/> Evidence that the investment/lending was approved by the board, such as an approval document<input type="checkbox"/> A certified copy of your commercial register which indicates your business operations
⑤ Payment of real estate rent	<ul style="list-style-type: none"><input type="checkbox"/> Real estate leasing contract<input type="checkbox"/> A certified copy of your commercial register which indicates your business operations
⑥ Remittance between the head office and branches	<ul style="list-style-type: none"><input type="checkbox"/> A document indicating the grounds for the remittance (*2) (*2) Your balance sheet (a total trial balance sheet, etc.) in case of remitting sales proceeds

(2) Examples of additional documents

⑦ Information which indicates the customer's business condition, such as income (sales) and the status of financial assets that will be the source of the remittance

Example: Financial statements (a total trial balance sheet for one year), a passbook or bank statement with the record of sales proceeds transfers, a tax payment certificate (or a tax declaration certificate), etc.

※ Your remittance request may be declined if you fail to provide us with the remittance purpose or present additional documents when or after your request is received. Please also note that there are cases where your request may not be accepted based on our judgement.

End